

FACTS

WHAT DOES ELEMENTS 5 INVESTMENT MANAGEMENT DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.				
What?	<p>The types of personal information we collect and share depend on the services we provide to you. This information can include:</p> <table border="0"> <tr> <td>■ Name, address, phone, email</td> <td>■ Income and assets</td> </tr> <tr> <td>■ Social Security number and birth date</td> <td>■ Account balances and transaction history</td> </tr> </table>	■ Name, address, phone, email	■ Income and assets	■ Social Security number and birth date	■ Account balances and transaction history
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How?	Financial companies may share clients' personal information for purposes of providing products and services to their clients. In the section below, we list (i) the reasons financial companies may share their customers' personal information, (ii) whether Elements 5 chooses to share, and (iii) whether you can limit this sharing.				

Reasons we can share your personal information	Does Elements 5 share?	Can you limit this sharing?
For our everyday business purposes, including for engaging our service providers, to provide you financial advisory services— to provide financial planning and investment management services, manage and maintain your account(s), respond to court orders and legal investigations	Yes	No (Elements will be unable to provide services to you)
For our marketing purposes— to offer new services to you	Yes	Yes
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes— information about your transactions and experiences	N/A	We do not share
For our affiliates' everyday business purposes— information about your creditworthiness	N/A	We do not share
For our affiliates to market to you	N/A	We do not share
For nonaffiliates to market to you	N/A	We do not share

To limit our sharing	<ul style="list-style-type: none"> ■ Email the form below to Elements 5 Investment Management ■ If you are a <i>new</i> client, we can begin sharing your information 5 days from the date we sent this notice. When you are <i>no longer</i> our client, we discontinue sharing your information as described in this notice within 30 days. ■ You may limit our sharing of your information while a client <i>only</i> for marketing purposes; we cannot provide services to you without sharing, you can contact us at any time to limit our sharing.
Questions?	<ul style="list-style-type: none"> ■ Call: 908-421-1164 or email: elements5ria@outlook.com

Email Form

If there are other clients in your household or you are a co-client, your choice(s) will apply to each other client unless you mark otherwise.	<input type="checkbox"/> Do not share my information for marketing purposes of Elements 5	
	<input type="checkbox"/> Apply my choice only to me	
	Your Name:	Your comments:
	Your Email:	

Who we are	
Who is providing this notice?	Elements 5 Investment Management, a registered investment adviser located in and subject to the laws of New Jersey
What we do	
How does Elements 5 protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with New Jersey law. These measures include computer safeguards and secured files.
How does Elements 5 collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ■ Enter into an advisory services agreement with Elements 5 ■ Engage us to provide financial planning or investment management services ■ Use (or we use on your behalf) our service providers' software apps ■ Open a brokerage account with our assistance ■ When we help you transfer money between your accounts
Why can't I limit all sharing?	<p>We cannot provide you with financial advisory services if you limit all sharing of your personal information and data. Elements 5 relies on third party service providers who must have access to certain personal information to enable us to provide you with financial advisory services.</p> <p>You have the right to limit:</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you
What happens when I am a co-client and limit sharing?	Your choices will apply to your co-client and everyone in your household—unless you tell Elements 5 otherwise.
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ■ <i>Elements 5 does not have any affiliates</i>
Nonaffiliates	<p>Companies not related to Elements 5 by common ownership or control. They can be financial and other companies.</p> <ul style="list-style-type: none"> ■ <i>Elements 5 uses nonaffiliated service providers in order to provide its services to you</i>
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> ■ <i>Elements 5 does not have any joint marketing agreements</i>
Other important information	
<p>Elements 5 Investment Management is a fee-only registered investment adviser that receives compensation solely from its clients. Elements 5 does not receive any commissions and has no referral arrangements to receive payments from third parties. Elements 5 will only share your information with third parties that Elements 5 has a written agreement to protect the privacy of your information.</p>	